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| A A                | TRANSMITTAL LET          | TER                       | Case No. 10466/44 |
|--------------------|--------------------------|---------------------------|-------------------|
| STORM B            | Filing Date              | Examiner                  | Group Art Unit    |
| 09/904,485         | July 13, 2001            | To be assigned            | 1645              |
| nventor(s)         |                          |                           |                   |
| Ashkenazi et al.   |                          |                           |                   |
| Title of Invention |                          |                           |                   |
| SECRETED AND TRANS | MEMBRANE POLYPEPTIDES AI | ND NUCLEIC ACIDS ENCODING | THE SAME          |

| TO THE COMMISSIONER FOR PATENTS   |  |              |                                       |   |            |                       |                              |    |                    |              |  |
|---|--|--------------|---------------------------------------|---|------------|-----------------------|------------------------------|----|--------------------|--------------|--|
| Transmitted herewith is a Statement According to 37C.F.R. § 1.821(f); copy of Sequence Listing in computer readable form; copy of Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures and return postcard. |  |              |                                       |   |            |                       |                              |    |                    |              |  |
|   | Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.   |              |                                       |   |            |                       |                              |    |                    |              |  |
|   | A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.  |              |                                       |   |            |                       |                              |    |                    |              |  |
|   | Petition for amonth extension of time.   |              |                                       |   |            |                       |                              |    |                    |              |  |
| $\boxtimes$   | No additional fee is required.   |              |                                       |   |            |                       |                              |    |                    |              |  |
|   | The fee has been calculated as shown below:  |              |                                       |   |            |                       |                              |    |                    |              |  |
|   | Other Than<br>Small Entity Small Entity  |              |                                       |   |            |                       |                              |    |                    |              |  |
|   | Claims<br>Remaining<br>After<br>Amendment  | -            | Highest No.<br>Previously<br>Paid For | Present<br>Extra  |            | Rate                  | Add'I<br>Fee                 | or | Rate               | Add'l<br>Fee |  |
| Total   |  | Minus        |                                       |   |            | x \$9=                |                              |    | x \$18=            |              |  |
| Indep.  |  | Minus        |                                       |   |            | x 42 =                |                              |    | x \$84=            |              |  |
| First Pre   | sentation of Mul   | tiple Dep. C | Claim                                 |   |            | + \$140 =             |                              |    | + \$280=           |              |  |
|   |  |              |                                       |   |            | Total<br>add'I fee    | \$                           |    | Total<br>add'I fee | \$           |  |
|   | Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ A duplicate copy of this sheet is enclosed.  |              |                                       |   |            |                       |                              |    |                    |              |  |
|   | A check in the amount of \$ to cover the filing fee is enclosed.   |              |                                       |   |            |                       |                              |    |                    |              |  |
| $\boxtimes$   | The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed. |              |                                       |   |            |                       |                              |    |                    |              |  |
|   | I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.   |              |                                       |   |            |                       |                              |    |                    |              |  |
| P.O. BO<br>CHICAG   | HOFER GILSON<br>X 10395<br>IO, ILLINOIS 606<br>21-4200   |              |                                       | Respectfully<br>Gregory M.<br>Registration<br>Agent for A | Zinl<br>No | kl, Ph.D.<br>. 49,492 | $\mathcal{M}_{\underline{}}$ |    |                    |              |  |
| , •.  |  |              |                                       |   |            |                       |                              |    |                    |              |  |

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail, label no. EL 562415205US, with sufficient postage, in an envalope addressed to: Assistant Commissioner for Patents. Washington, D.C. 20231, on Oliver Date: Signature:

Date: 0/11/02 rev. Dec.-00

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CONFIRMATION NO. 8938

FORMALITIES LETTER

Paul E. Rauch, Ph.D. Brinks, Hofer, Gilson & Lione NBC Tower - Suite 3600 455 Cityfront Plaza Drive Chicago, IL 60611, 5599

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## NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFP 1 136(a)

A request to transfer the computer readable form from another application on file at the U.S. Patent and
Trademark Office has been submitted as permitted by 37 C.F.R. 1.821(e). However, the request cannot be
complied with since there is no compliant CRF present at the United States Patent and Trademark Office.
Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Eisting" and a
statement that the content of the sequence listing information recorded in computer to clark forms.
Identical to the written (on paper or compact disc) sequence listing and where application includes no new
matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
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A copy of this notice MUST be returned with the reply.